

FULL PAPER

Aurovertin-Type Polyketides from *Calcarisporium arbuscula* with Potent Cytotoxic Activities against Triple-Negative Breast Cancer

by Hong Zhao^{a)}, Rui Wu^{b)}, Lie-Feng Ma^{b)}, Li-Ke Wo^{a)}, Yuan-Yuan Hu^{a)}, Chao Chen^{a)}, and Zha-Jun Zhan^{*b)c)}

^{a)} Department of Breast Surgery, First Affiliated Hospital of Zhejiang Chinese Medical University, Hangzhou 310006, P. R. China

^{b)} College of Pharmaceutical Science, Zhejiang University of Technology, Hangzhou 310014, P. R. China
(phone: +86-571-88871075; e-mail: zjnpr@zjut.edu.cn)

^{c)} State Key Laboratory of Bioactive Substance and Function of Natural Medicines, Institute of Materia Medica, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing 100050, P. R. China

Two new polyene polyketides, namely aurovertins T and U (**1** and **2**), were isolated from *Calcarisporium arbuscula*, together with aurovertins B (**3**), D and E (**4** and **5**), and M (**6**). The structures were elucidated by extensive spectroscopic methods (especially 2D-NMR techniques). The cytotoxic activities of all isolates against human triple-negative breast cancer cell line (MDA-MB-231) were evaluated. As a result, compounds **3**, **4**, and **6** exhibited more potent cytotoxic activities against MDA-MB-231 cell line than the positive control taxol. Also, discussion about the relationships between structure and activity of these aurovertins was presented.

Keywords: Aurovertin, *Calcarisporium arbuscula*, Triple-negative breast cancer, Polyketides, Fungi.

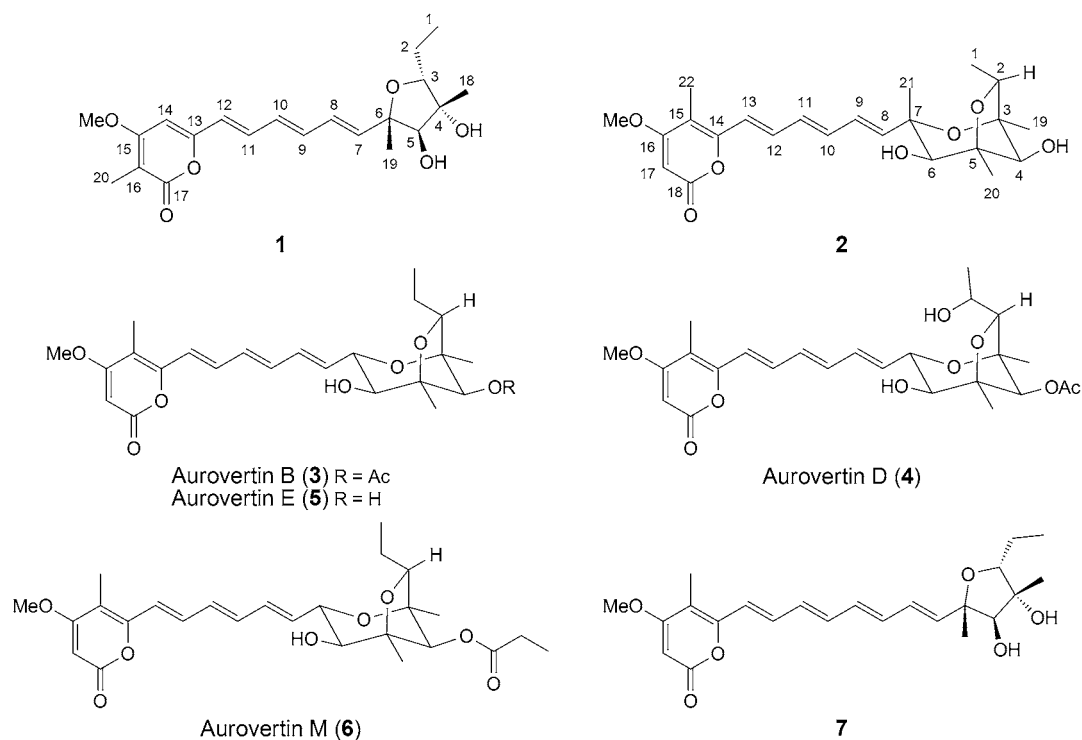
Introduction

Breast cancer is the most frequently diagnosed cancer in women and is associated with substantial morbidity and mortality. Triple-negative breast cancer (TNBC) refers to breast tumor characterized by lack of expression of estrogen, progesterone, and HER2/neureceptors, and comprises 15% of all breast cancers. TNBC is the most aggressive of breast cancer subtypes, with a high propensity for metastasis and poor prognosis [1][2]. Current treatment modalities for TNBC are limited to surgery, radiation, and systemic chemotherapy, given the absence of more specific therapeutic targets. Although systematic therapeutic approaches have reduced cancer-specific mortality, TNBC remains associated with high rates of cancer recurrence and metastasis. It is urgent to find lead compounds for potential treatment of TNBC [3][4].

During our preliminary screening, the CHCl₃ extract of the culture of *Calcarisporium arbuscula* exhibited significant cytotoxic against MDA-MB-231 cell line. A chemical investigation of the extract led to the isolation of two new and four previously known aurovertin derivatives (**1** – **6**; Fig. 1). The details regarding the isolation, structural characterization, and cytotoxic assay of compounds **1** – **6** are presented here.

Results and Discussion

Aurovertin T (**1**) was obtained as a yellow amorphous powder. The molecular formula was deduced as C₂₁H₂₈O₆ on the basis of $[M + Na]^+$ at m/z 399.1780 (C₂₁H₂₈NaO₆⁺; calc. 399.1784), indicating the existence of eight degrees of unsaturation. The IR spectrum exhibited the absorption bands due to OH (3440 cm⁻¹) and C=C bonds (1632 cm⁻¹). The UV spectrum showed the typical absorption of conjugated triene pyrone moiety in aurovertins [5 – 7]. The ¹H-NMR data (Table 1) of **1** revealed the presence of five Me group (δ (H) 1.06 (*t*, *J* = 7.5), 1.22 (*s*), 1.28 (*s*), 1.93 (*s*), 3.86 (*s*)), seven olefinic H-atoms, and two O-bearing CH atoms (δ (H) 3.68 (*dd*, *J* = 7.5, 4.5), 3.80 (*d*, *J* = 3.0)). The ¹³C-NMR and DEPT spectra showed 21 C-atom signals that attributed to five Me groups, one CH₂, nine CH, and six quaternary C-atoms. The data mentioned above were similar to those of **7**, a biosynthetic intermediate of aurovertins [8]. An in-depth comparison of the NMR data between compounds **1** and **7** suggested that the major difference lied in the α -pyrone and polyene moieties. The ¹H-NMR spectrum of **1** only displayed three pairs of C=C H-atom signals, which indicated that **1** had one less C=O than that in **7**. The HMBs Me(20)/C(15), Me(20)/C(16), and Me(20)/C(17) showed that the Me group (C(20)) was located at C(16) but not C(14) (Fig. 2). The planar structure of **1** was

Fig. 1. Structures of compounds **1** – **6** isolated from *Calcarisporium arbuscula*.

thus formulated. The assignments of the ^1H - and ^{13}C -NMR data of **1** were achieved unambiguously from the ^1H , ^1H -COSY, HMQC, and HMBC spectra (Table 1, Fig. 2).

The configuration of **1** was deduced by coupling constant and NOESY analyses. The large vicinal coupling constants clearly indicated that the C(7)=C(8), C(9)=C(10), and C(11)=C(12) bonds all had (*E*)-configuration.

Table 1. ^1H - and ^{13}C -NMR data for **1** and **2** in CDCl_3 , δ in ppm, J in Hz. Atom numbering as indicated in Fig. 1

Position	1		2	
	$\delta(\text{H})$	$\delta(\text{C})$	$\delta(\text{H})$	$\delta(\text{C})$
1	1.06 (<i>t</i> , $J = 7.5$)	11.6	1.18 (<i>d</i> , $J = 6.5$)	13.4
2	1.54 – 1.59 (<i>m</i>)	21.4	4.07 (<i>q</i> , $J = 6.5$)	80.5
3	3.68 (<i>dd</i> , $J = 7.5, 4.5$)	85.0	–	83.2
4	–	81.6	4.24 (<i>s</i>)	75.5
5	3.80 (<i>d</i> , $J = 3.0$)	85.1	–	82.8
6	–	83.3	3.56 (<i>s</i>)	79.8
7	6.04 (<i>d</i> , $J = 15.5$)	143.4	–	78.4
8	6.48 (<i>dd</i> , $J = 14.5, 11.5$)	127.2	5.94 (<i>d</i> , $J = 15.5$)	146.7
9	6.44 (<i>dd</i> , $J = 14.0, 11.5$)	137.5	6.30 – 6.35 (<i>m</i>)	128.4
10	6.30 (<i>dd</i> , $J = 14.0, 11.5$)	131.6	6.42 (<i>dd</i> , $J = 14.5, 10.5$)	137.2
11	7.15 (<i>dd</i> , $J = 15.5, 11.5$)	135.5	6.30 – 6.35 (<i>m</i>)	131.8
12	6.02 (<i>d</i> , $J = 15.5$)	122.5	7.16 (<i>dd</i> , $J = 15.0, 11.0$)	135.7
13	–	157.5	6.34 (<i>d</i> , $J = 15.0$)	119.7
14	6.02 (<i>s</i>)	96.0	–	154.5
15	–	165.8	–	108.3
16	–	103.1	–	170.8
17	–	165.0	5.48 (<i>s</i>)	89.1
18	1.22 (<i>s</i>)	18.4	–	163.9
19	1.28 (<i>s</i>)	21.4	1.31 (<i>s</i>)	17.5
20	1.93 (<i>s</i>)	9.1	1.35 (<i>s</i>)	18.8
21	–	–	1.25 (<i>s</i>)	26.8
22	–	–	1.95 (<i>s</i>)	9.1
MeO	3.86 (<i>s</i>)	56.4	3.81 (<i>s</i>)	56.4

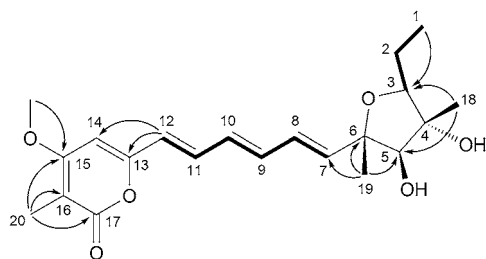


Fig. 2. Key ^1H , ^1H -COSY (■) and HMBC (H → C) correlations of **1**.

NOE correlations H–C(3)/Me(19) and Me(19)/Me(18) indicated that the H–C(3), Me(18), and Me(19) were on the same side of the molecular plane, tentatively assumed as β . As a consequence, the orientation of the Et group at C(3) was α . A strong NOE between CH₂(2) and H–C(5) supported the α -configuration of H–C(5). Thus, the structure of aurovertin T (**1**) was established as depicted.

Aurovertin U (**2**) was also obtained as a yellow amorphous powder, which had the molecular formula C₂₃H₃₀O₇ based on HR-ESI-MS analysis. The IR, UV, and NMR spectra were similar to those of aurovertin K, except for an additional Me group signal and loss a low-field signal in **2** [9]. The location of the Me(22) group at C(15) was deduced by HMBCs Me(22)/C(14), Me(22)/C(15), and Me(22)/C(16). Further analysis of other spectroscopic data (HMQC, HMBC, ^1H , ^1H -COSY, NOESY) established the structure of **2** as shown in Fig. 1.

The known compounds were identified as aurovertins B (**3**) [10], D and E (**4** and **5**) [5][11], and M (**6**) [9] by comparing their spectroscopic data with literature data. Aurovertins are a class of toxic polyketide with the basic structure of 2,6-dioxabicyclo[3.2.1]octane (DBO) ring system and a conjugated α -pyrone moiety. To date, these metabolites had been reported from fungal species like *C. arbuscula* [10][11], *Albatrellus confluens* [5][9], *Pochonia chlamydosporia* [7], and *Metarhizium anisopliae* [6]. Among these isolates, aurovertin T (**1**) is structurally like a biosynthetic intermediate [8], and has different substituted pattern in its α -pyrone moiety from the other aurovertins previously reported [5 – 11].

All compounds (**1** – **6**) were evaluated for their cytotoxic activities against MDA-MB-231 with taxol as a positive control. As shown in Table 2, most of isolates showed cytotoxicity against MDA-MB-231, especially **3**, **4**, and **6** exhibiting more potent cytotoxicity than taxol. Compound **1** bearing no DBO moiety, a typical function in the aurovertins, showed no cytotoxicity against MDA-MB-231. The result indicated that the DBO moiety in

aurovertins was an important factor for the retention of bioactivity. Moreover, compounds **2** and **5**, lacking the Ac group at C(4), showed reduced cytotoxic activity compared to the similar, but Ac-bearing compounds **4** and **3**, respectively, which indicated that the Ac group at C(4) was a key group for the improvement of the cytotoxic activity.

Aurovertins were initially reported as a group of toxic substances from *C. arbuscula* [12]. Aurovertin B (**3**) was found to be a specific and uncompetitive inhibitor of F1 ATPase [13][14]. Recent research showed that aurovertins displayed nematodotoxic [7] and cytotoxic activities [15]. In this study, several aurovertins were found to show potent cytotoxicity against TNBC cell line. Herein, compounds **3**, **4**, and **6** can be used as lead compounds for further optimization for the potential use of treatment of TNBC. Further investigations are undergoing and the details will be reported in due course.

This work was financially supported by the *Natural Science Foundation of Zhejiang Province* (LY16H300003) and the *State Key Laboratory of Bioactive Substance and Function of Natural Medicines* (GTZK201507).

Experimental Part

General

All solvents were of anal. grade and obtained from commercially available sources. Thin-layer chromatography (TLC): precoated silica gel GF₂₅₄ plates (SiO₂; Qingdao Haiyang Chemical Co., Ltd., Shangdong, China); visualized by UV light (at 254 and/or 360 nm) and by spraying with 10% H₂SO₄ reagent followed by heating at 110° for 5 – 10 min. Column chromatography (CC): SiO₂ (200 – 300 mesh; Qingdao Haiyang Chemical Co., Ltd.), RP-C₁₈ SiO₂ (ODS-A 12 nm S-150; YMC Co., Japan), and MCI CHP20P gel (75 – 150 mm; Mitsubishi Chemical Industries, Ltd., Japan). Optical rotations: Rudolph-AutoPol IV polarimeter (USA). UV Spectra: Shimadzu UV-2450 spectrometer; λ_{max} (log ϵ) in nm. IR Spectra: Nicolet-Avatar-370 spectrometer (Thermo Electron Corporation, USA); $\tilde{\nu}$ in cm^{–1}. NMR Spectra: Bruker AM-500 apparatus (USA); δ in ppm rel. to Me₄Si standard, J in Hz. ESI-MS: Agilent-6210-Lc/Tof mass spectrometer (USA); in m/z .

Fungus and Culture Conditions

The fungus was purchased from was purchased from the China Forestry Culture Collection Center (cfcc 89533). The cultivation was carried out on shakers at 28 °C and 185 rpm for 6 days in liquid PD medium (potato extracts, 200 g; glucose, 20 g, dist. H₂O, 1 l), followed by static cultivation for another 24 d.

Extraction and Isolation

The cultures (10 l) were filtered through cheesecloth to separate the broth and mycelia. The air-dried mycelia

Table 2. 50% Inhibitory concentration of **1** – **6** against MDA-MB-231

Compound	1	2	3	4	5	6	Taxol ^{a)}
IC ₅₀ [μM]	>50	5.43	0.09	0.08	8.79	0.25	2.85

^{a)} Taxol was used as positive control.

(380 g) were powdered and extracted with acetone (3 times) at r.t. The solvent was evaporated under reduced pressure to give a crude extract (58 g). The acetone extract was suspended in 3.0 l of H₂O and partitioned with CHCl₃ (5 × 0.5 l). The CHCl₃ fraction (18.0 g) was then subjected to CC (SiO₂; petroleum ether (PE)/acetone, 10:1→4:1) to give three fractions, *Frs.* 1–3. *Fr.* 1 was purified by CC (*MCI CHP20P*; MeCN/H₂O 5:5→7:3) to provide aurovertins D (**4**) (230 mg) and E (**5**) (78 mg). Compounds **1** (2.3 mg) and **2** (5.8 mg) were isolated from *Fr.* 2 by CC (*RP-C₁₈*; MeCN–H₂O 6:4→8:2). Aurovertin B (**3**) (287 mg) was purified by recrystallization of *Fr.* 3 from MeCN/H₂O (9:1). The filtrate was evaporated to give a yellow residue, which was further purified by CC (SiO₂, PE/acetone 4:1 →2:1) to give aurovertins B (**3**) (55.0 mg) and M (**6**) (11.0 mg).

Aurovertin T (= **6-[(1E,3E,5E)-6-[(2R*,3S*,4S*,5S*)-5-Ethyltetrahydro-3,4-dihydroxy-2,4-dimethylfuran-2-yl]hexa-1,3,5-trien-1-yl]-4-methoxy-3-methyl-2H-pyran-2-one**; **1**). Yellow amorphous powder. $[\alpha]_{\text{D}}^{20} = -29.5$ ($c = 0.05$, CHCl₃). UV (MeOH): 368 (3.99), 276 (4.11), 265 (4.15), 230 (3.94). IR (KBr): 3440, 2938, 1632, 1450, 1378, 1094, 1067, 987, 758. ¹H- and ¹³C-NMR: see *Table 1*. ESI-MS (pos.): 399 ($[M + Na]^+$). HR-ESI-MS: 399.1780 ($[M + Na]^+$, C₂₁H₂₈NaO₆⁺; calc. 399.1784).

Aurovertin U (= **6-[(1E,3E,5E)-6-[(1R*,3S*,4S*,5S*,7R*,8S*)-4,8-Dihydroxy-1,3,5,7-tetramethyl-2,6-dioxabicyclo[3.2.1]octan-3-yl]hexa-1,3,5-trien-1-yl]-4-methoxy-5-methyl-2H-pyran-2-one**; **2**). Yellow amorphous powder. $[\alpha]_{\text{D}}^{20} = -21.4$ ($c = 0.05$, CHCl₃). UV (MeOH): 365 (4.02), 278 (4.23), 266 (4.15), 230 (3.88). IR: 3438, 2961, 1685, 1621, 1550, 1460, 1375, 1095, 972, 752. ¹H- and ¹³C-NMR: see *Table 1*. ESI-MS (pos.): 441 ($[M + Na]^+$). HR-ESI-MS: 441.1894 ($[M + Na]^+$, C₂₃H₃₀NaO₇⁺; calc. 441.1889).

Biological Assay

All isolates were tested for cytotoxic activities against MDA-MB-231 cell line according to standard protocols

[15][16], and taxol was used as positive control. Five concentrations were used to calculate the IC₅₀ values.

REFERENCES

- [1] W. D. Foulkes, I. E. Smith, J. S. Reis-Filho, N. Engl, *J. Med.* **2010**, 363, 1938.
- [2] T. C. de Ruijter, J. Veeck, J. P. J. de Hoon, M. van Engeland, V. C. Tjan-Heijnen, *J. Cancer Res. Clin. Oncol.* **2011**, 137, 183.
- [3] H.-Q. Gao, X.-Z. Ma, *J. Int. Oncol.* **2013**, 40, 25.
- [4] O. Engebraaten, H. K. M. Vollan, A.-L. Børresen-Dale, *Am. J. Pathol.* **2013**, 183, 1064.
- [5] F. Wang, D.-Q. Luo, J.-K. Liu, *J. Antibiot.* **2005**, 58, 412.
- [6] M. Azumi, K.-I. Ishidoh, H. Kinoshita, T. Nihira, F. Ihara, T. Fujita, Y. Igarashi, *J. Nat. Prod.* **2008**, 71, 278.
- [7] X.-M. Niu, Y.-L. Wang, Y.-S. Chu, H.-X. Xue, N. Li, L.-X. Wei, M.-H. Mo, K.-Q. Zhang, *J. Agric. Food Chem.* **2010**, 58, 828.
- [8] X.-M. Mao, Z.-J. Zhan, M. N. Grayson, M.-C. Tang, W. Xu, Y.-Q. Li, W.-B. Yin, H.-C. Lin, Y.-H. Chooi, K. N. Houk, Y. Tang, *J. Am. Chem. Soc.* **2015**, 137, 11904.
- [9] H. Guo, T. Feng, Z.-H. Li, J.-K. Liu, *Nat. Prod. Bioprospect.* **2013**, 3, 8.
- [10] L. J. Mulheirn, R. B. Beechey, D. P. Leworthy, M. D. Osselton, *J. Chem. Soc., Chem. Commun.* **1974**, 874.
- [11] P. S. Steyn, R. Vleggaar, P. L. Wessels, *J. Chem. Soc., Perkin Trans. 1* **1981**, 1298.
- [12] C. L. Baldwin, L. C. Weaver, R. M. Brooker, T. N. Jacobsen Jr, C. E. Osborne, H. A. Nash, *Lloydia* **1964**, 27, 88.
- [13] J. Lunardi, G. Klein, P. V. Vignais, *J. Biol. Chem.* **1986**, 261, 5350.
- [14] M. J. Van Raaij, J. P. Abrahams, A. G. W. Leslie, J. E. Walker, *Proc. Natl. Acad. Sci. U.S.A.* **1996**, 93, 6913.
- [15] T.-C. Huang, H.-Y. Chang, C.-H. Hsu, W.-H. Kuo, K.-J. Chang, H.-F. Juan, *J. Proteome Res.* **2008**, 7, 1433.
- [16] Y.-M. Ying, W.-G. Shan, L.-W. Zhang, Z.-J. Zhan, *Phytochemistry* **2013**, 95, 360.

Received January 29, 2016

Accepted March 3, 2016